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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### PATENT APPLICATION

Applicants:	Max Aebi et al.	Confirmation No.:	7507
Application No.:	10/538,950		
Int'l Appln. No.:	PCT/CH02/00707	I.A. Filing Date:	December 17, 2002
For:	INTERVERTEBRAL IMPLANT	Attorney Docket:	8932-1182-999 (new) (formerly LUS-16100)

New York, New York 10017 March 1, 2007

Mail Stop PCT Hon. Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attention: Office of PCT Legal Administration

## REPLY TO DECISION ON PETITION

Sir:

Applicants hereby reply to the Decision On Petition mailed February 12, 2007 (copy enclosed) in connection with this case.

The Decision indicated that the previously filed declaration by co-inventors Dominique Burkard, Robert Frigg, and Beat Lechmann was defective and insufficient to satisfy the oath or declaration requirement of 35 C.F.R. § 371(c)(4) for entry into U.S. national stage.

Applicants accordingly submit herewith a partially-executed Declaration And Power Of Attorney executed by co-inventors Dominique Burkard, Robert Frigg, and Beat Lechmann.

Applicants respectfully request continued national stage processing of this application and issuance of a Notice Of Acceptance Of Application.

This Reply is being filed within two months of the February 12, 2007 mail date of the Decision and, thus, no fee is believed due. However, if for any reason a fee is due in connection with this Reply, please charge that fee to Deposit Account No. 50-3013. A duplicate copy of this Reply is enclosed.

Respectfully submitted,

Garry J. Tuma

Registration No. 40,210 Attorney for Applicants

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12 FEB 2007

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In re Application of

AEBI et al.

Application No.: 10/538,950 PCT No.: PCT/CH02/000707 Int. Filing: 17 December 2002

Priority Date: None

Attorney Docket No.: LUS-16100

For: INTERVERTEBRAL IMPLANT

**DECISION ON PETITION** 

This decision is in response to applicants' "Reply to Decision on Petition under 1.47(a)" filed 25 January 2007. In a decision dated 29 November 2006, applicants' petition under 37 CFR 1.47(a) to accept the application without the signature of inventor, Max Aebi, was dismissed without prejudice.

The petition for status under 37 CFR 1.47(a) is most since the declaration filed 25 January 2007 with the present renewed petition was executed by the named inventor, the previous nonsigning inventor, Max Aebi. The declaration filed 25 January 2007 is acceptable under 37 CFR 1.497(a) (b).

However, as stated in the previous Decision on Petition dated 29 November 2006; the declaration filed on 19 September 2006, executed by Dominque Burkard; Robert Frigg; and Beat Lechman, is defective and is insufficient to satisfy the oath or declaration requirement of 35 CFR 371(c)(4) for entry into the national stage in the United States of America. Specifically, the declaration included non-translated foreign language text handwritten thereon. (See 37 CFR 1.69) Therefore, a newly executed declaration by Dominque Burkard, Robert Frigg, and Beat Lechman is required.

## **CONCLUSION**

For the reasons above, the renewed petition under 37 CFR 1.47(a) is <u>DISMISSED</u> as MOOT.

A proper response must be filed within TWO (2) MONTH from the mail date of this decision. Extensions of time may be obtained under 37 CFR 1.136(a). A proper response must include a newly executed declaration (by Dominque Burkard; Robert Frigg; and Beat Lechman) in compliance with 37 CFR 1.497(a)-(b).

Application No.: 10/538,950

Any further correspondence with respect to this matter should be addressed to: Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313·1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.

Authory Snith

Anthony Smith Attorney-Advisor Office PCT Legal Administration

Tel.: 571-272-3298 Facsimile: 571-273-0459

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### DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### INTERVERTEBRAL IMPLANT

and for which a patent application:

- was filed in the United States on June 14, 2005 as Application No. 10/538,950 and amended on even date, said application being a National Stage of:
- PCT International Application No. PCT/CH02/000707, filed on December 17, 2002

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED	
			YES 🗆	NO 🗆

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

PROVISIONAL APPLICATION NUMBER	FILING DATE

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL	FILING DATE	STATUS				
APPLICATION NO.		PATENTED	PENDING ABANDONED			
			· · · · · · · · · · · · · · · · · · ·			

POWER OF ATTORNEY: As a named inventor, I hereby appoint the Practitioners of Customer Number 51832, whose address is Jones Day, 222 East 41st Street, New York, New York 10017, my attorneys, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith.

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inforn false s the U	nation and belief a statements and the	statements made herein of my own kr re believed to be true; and further that like so made are punishable by fine o and that such willful false statements	these statements were made v r imprisonment, or both, unde	with the knowledge or Section 1001 of T	that willful itle 18 of	
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